

NULL CONTROLLABILITY OF NONLINEAR LARGE-SCALE NEUTRAL SYSTEMS

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ABSTRACT

Sufficient conditions for the null controllability of nonlinear uncertain large-scale neutral system are developed. Namely, if the uncontrolled system is uniformly asymptotically stable and if the linear systems is controllable, then the nonlinear large-scale neutral delay system is null controllable. An application is provided to explain the result.

Key Words : Null controllability, large-scale neutral system, fixed point theorem.

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1. INTRODUCTION

A differential equation in which the expression for $\dot{x}(t)$ involves $\dot{x}(g(t))$ for some $g(t) < t$ is said to be of neutral type. For an arbitrary function $D(t, x(g(t)))$ if the differential equation contains $d/dt[x(t) - D(t, x(g(t)))]$, it is said to be uncertain neutral system. Instead of $x(t)$ and $g(t)$ if it is $x_i(t)$ and the changeable delays

$g_i(t) < t$ for $i = 1, 2, \dots, N$, the differential equation is said to be uncertain large-scale neutral system. If x is a function on some set which includes $[t-r, t]$, the new function x_t on $[-r, 0]$ is defined by $x_t(s) = x(t+s)$ for $-r \leq s \leq 0$ (see [4]). By using the new function, Chukwu [2] showed that if the linear delay system

$$\dot{x}(t) = L(t, x_t) \quad (1)$$

is uniformly asymptotically stable and

$$\dot{x}(t) = L(t, x_t) + B(t)u(t) \quad (2)$$

is proper, then

$$\dot{x}(t) = L(t, x_t) + B(t)u(t) + f(t, x_t, u(t)) \quad (3)$$

is Euclidean null controllable provided f satisfies certain growth and continuity conditions and $x(t) = \phi(t)$ on $t \in [-r, 0]$. He also developed conditions in [3] that if (1) is uniformly asymptotically stable and (2) is function space controllable, then (3) is function space controllable with constraints. Underwood and Young [13] proved that if the linear approximation (2) to the system

$$\dot{x}(t) = f(t, x_t, u(t)) \quad (4)$$

is function space controllable, then (4) is function space locally null controllable under certain conditions on f .

Sinha [12] developed sufficient conditions for the null controllability of the infinite delay system

$$\begin{aligned} \dot{x}(t) &= L(t, x_t) + B(t)u(t) + \int_{-\infty}^0 A(s)x(t+s) ds + f(t, x(\cdot), u(\cdot)) \\ x(t) &= \phi(t), \quad t \in (-\infty, 0] \end{aligned}$$

where $L(t, \phi)$ is continuous in t , linear in ϕ with constant delays $r_k \geq 0$ and given by

$$L(t, \phi) = \sum_{k=1}^N A_k(t) \phi(-r_k)$$

Khambadkone [8] derived sufficient conditions for Euclidean null controllability of linear systems with distributed delays in control. Recently Balachandran and Dauer [1] extended this to the nonlinear infinite delay systems

with distributed delays in control. In this paper, we obtain several sufficient conditions for the controllability of the following nonlinear large-scale neutral delay systems. The considered system has been encountered as a mathematical model of the transmission time in triode oscillator, compartmental models and many other physical phenomena for more details see [5, 6].

2. PRELIMINARIES

Definition : 2.1 [9] : The transition matrix $\Phi_i(t, s)$ of the system $\dot{x}_i(t) = A_i(t)x_i(t)$ is defined for each t, s in $(-\infty, \infty)$ and has the following properties

- (i) $\Phi_i(t, t) = I$, an identity matrix of appropriate dimension of A_i
- (ii) $\Phi_i^{-1}(t, s) = \Phi_i(s, t)$
- (iii) $\Phi_i(t_0, t_1) \Phi_i(t_1, t_2) = \Phi_i(t_0, t_2)$ for $t_0, t_1, t_2 \in (-\infty, \infty)$
- (iv) $\partial/\partial t [\Phi_i(t, s)] = A_i(t)\Phi_i(t, s)$.

Definition : 2.2 [11] : Let A be a Banach algebra and $x \in A$, the spectrum $\sigma(x)$ of x is the set of all complex numbers $\gamma \in C$ such that $(\gamma I - x)$ is not invertible. The complement of $\sigma(x)$ is the resolvent set of x it consists of all γ for which $(\gamma I - x)^{-1}$ exists. The spectral radius of x is the number

$$\rho(x) = \sup \{ |\gamma| : \gamma \in \sigma(x) \}.$$

It is the radius of the smallest closed circular disc in C , with center at 0 which contains $\sigma(x)$.

Consider the nonlinear large-scale neutral system of the form

$$\frac{d}{dt} [x_i(t) - D_i(t, x_{it})] = A_i(t)x_i(t) + B_j(t)u_j(t) + f_i(t, x_{it}, u_j(t)), \quad t \in J = [0, T] \quad (5)$$

$$x_i(t) = \phi(t), \quad t \in [-r, 0]$$

where the function x_{it} on $[-r, 0]$ is defined by $x_{it}(s) = x_i(t+s)$ for $-r \leq s \leq 0$, $x_i(t) \in R^{n_i}$ ($i = 1, 2, \dots, N$) such that $\sum_{i=1}^N n_i = n$, $u_j(t) \in R^{m_j}$ ($j = 1, 2, \dots, M$)

such that $\sum_{j=1}^M m_j = m$ and $A_i(t), B_j(t)$ are respectively $n_i \times n_i, n_i \times m_j$ continuous matrix valued functions, $D_i : R \times C^{n_i} \rightarrow R^{n_i}$ and $f_i : R \times C^{n_i} \times R^{m_j} \rightarrow R^{n_i}$ are

sufficiently smooth functions. Then for any constant initial function $\phi(t) = \phi$ defined for $t \in [-r, 0]$ and the transition matrix $\Phi_i(t, s)$ for $t, s \in J$ equation (5) has unique continuous solution (see [14])

$$x_i(t) = D_i(t, x_{it}) + \Phi_i(t, t-t_1)[x_i(t-t_1) - D_i(t-t_1, x_{it-t_1})] \\ + \int_{t-t_1}^t \Phi_i(t, s)[A_i(s) D_i(s, x_{is}) + B_j(s)u_j(s) + f_i(s, x_{is}, u_j(s))] ds, t \in J \quad (6)$$

$$x_i(t) = \phi(t), \quad t \in [-r, 0]$$

Here $C^{n_i} = C([-r, 0], R^{n_i})$ denotes the Banach space of continuous functions mapping from the interval $[-r, 0]$ to R^{n_i} . For $\phi \in C^{n_i}$, we define

$$\|\phi\|_r = \max_{-r \leq \tau \leq 0} \|\phi(\tau)\|$$

where $\|\cdot\|$ is any convenient norm in R^{n_i} , and x_{it} is an element of C^{n_i} and $\|x_{it}\|_r = \max_{-r \leq \tau \leq 0} \|x_i(t+\tau)\|$. Note that

$$\int_{t-t_1}^t d(t, s) ds = \int_0^{t_1} d(t, t-s) ds.$$

Let $L_2(J, U)$ be a Banach space of squared integrable functions from an interval J into U equipped with the L_2 norm where U is a compact convex subset of E^{m_j} .

Definition 2.3 : The system (5) is said to be **null controllable** on the interval J if, for every continuous function $\phi \in C^{n_i}$ there exists a control $u_j \in L_2(J, U)$, U a compact convex subset of E^{m_j} such that the solution $x_i(t)$ of (5) satisfies $x_i(0) = \phi$, $x_i(T) = 0$.

Theorem 2.1 : The linear system

$$\frac{d}{dt} [x_i(t) - D_i(t, x_t)] = A_i(t) x_i(t) + B_j(t) u_j(t) \quad (7)$$

is null controllable on J if and only if the controllability Grammian

$$W(t_1, T) = \int_{T-t_1}^T \Phi_i(T, s) B_j(s) B_j^*(s) \Phi_i^*(T, s) ds$$

is positive definite for every $T \geq t_1$.

Proof : Assume that the controllability Grammian matrix W is positive definite, so that W is nonsingular (see [10]), hence W^{-1} exists and using it define the control function u_j on J such that

$$u_j(t) = -B_j^*(t) \Phi_i^*(T, t) W^{-1} \left[D_i(T, x_{iT}) + \Phi_i(T, T-t_1) \{x_i(T-t_1) - D_i(T-t_1, x_{iT-t_1})\} + \int_{T-t_1}^T \Phi_i(T, s) A_i(s) D_i(s, x_{is}) ds \right]. \quad (8)$$

The solution of (7) is given by

$$x_i(t) = D_i(t, x_{it}) + \Phi_i(t, t-t_1) [x_i(t-t_1) - D_i(t-t_1, x_{it-t_1})] + \int_{t-t_1}^t \Phi_i(t, s) [A_i(s) D_i(s, x_{is}) + B_j(s) u_j(s)] ds, \quad t \in J$$

$$x_i(t) = \phi(t), \quad t \in [-r, 0].$$

Using the control (8) in the above solution, it is seen that $x_i(T) = 0$, $t \in J$ and $x_i(0) = \phi$, the system (7) is null controllable on J .

Conversely, assume that the system (7) is null controllable on J , we must prove that W is positive definite. To prove this, it is enough to prove if W is not positive definite then we have a contradiction. Hence let W is not positive definite then there exists a vector $y \neq 0$ such that $y^* W y = 0$. It follows that

$$\int_{T-t_1}^T y^* \Phi_i(T, s) B_j(s) B_j^*(s) \Phi_i^*(T, s) y ds = 0$$

that is

$$\int_{T-t_1}^T y^* \Phi_i(T, s) B_j(s) (y^* \Phi_i(T, s) B_j(s))^* ds = 0$$

$$\text{Therefore } y^* \Phi_i(T, s) B_j(s) = 0 \text{ for } s \in J \text{ and } T \geq t_1. \quad (9)$$

But the system is null controllable, there exists a control u_j such that $x_i(T) = 0$.

That is

$$D_i(T, x_{iT}) + \Phi_i(T, T-t_1) [x_i(T-t_1) - D_i(T-t_1, x_{iT-t_1})] \\ + \int_{T-t_1}^T \Phi_i(T, s) [A_i(s) D_i(s, x_{is}) + B_j(s) u_j(s)] ds = 0$$

But D_i, Φ_i, A_i, B_j are nonzero, we have from the above equation that the first and third terms are non zero. Therefore for $y^* \neq 0$ we have

$$y^* \Phi_i(T, T-t_1) x_i(T-t_1) + \int_{T-t_1}^T y^* \Phi_i(T, s) [A_i(s) D_i(s, x_{is}) + B_j(s) u_j(s)] ds = 0$$

using (9) and the nonzero Φ_i, A_i, D_i the only possibility is

$$y^* \Phi_i(T, T-t_1) x_i(T-t_1) = 0,$$

which implies $y^* = 0$, which is a contradiction to the fact that $y \neq 0$. Hence W must be positive definite.

In this paper, we shall study the null controllability of nonlinear large-scale neutral system (5). For that let us assume the following conditions

(i) the free system

$$\frac{d}{dt} [x_i(t) - D_i(t, x_{it})] = A_i(t) x_i(t)$$

is asymptotically stable, so that the following are satisfied (see from Xu [14]). The transition matrix $\Phi_i(t, s)$ for $t, s \in J$ of the system

$$\frac{d}{dt} x_i(t) = A_i(t) x_i(t)$$

satisfies

$$\|\Phi_i(t, s)\| \leq H_i \exp(-\alpha_i \times [t - s]), \quad i = 1, 2, \dots, N, \quad (10)$$

where H_i, α_i are positive constants, and

$$\|D_i(t, x_{it})\| \leq \sum_{k=1}^N c_{ik} \|x_{kt}\|_r \quad (11)$$

$$\| A_i(t)D_i(t, x_{it}) \| \leq \sum_{k=1}^N d_{ik} \| x_{kt} \|_r \quad (12)$$

where c_{ik} and d_{ik} are nonnegative constants and

$$\rho(c_{ik} + d_{ik} \int_0^\infty \| \Phi_i(t, t-s) \| ds) < 1,$$

$\rho(\eta_{ik})$ denotes the spectral radius of $\eta_{ik} = c_{ik} + d_{ik} \int_0^\infty \| \Phi_i(t, t-s) \| ds$.

- ii) the linear system (7) is controllable
- iii) the continuous function f satisfies

$$|f_i(t, x_{it}, u_j(t))| \leq \exp(-\beta_i t) \pi(x_i(\cdot), u_j(\cdot)) \text{ for all } (t, x_{it}, u_j(t)) \in J \times C^{n_i} \times L_2(J, U)$$

where $\int_0^\infty \pi(x_i(\cdot), u_j(\cdot)) ds \leq K_{il} < \infty$ and $\beta_i \geq 0$.

3. MAIN RESULT

Theorem 3. 1 : Suppose that the conditions (i) - (iii) are satisfied. Then (5) is null controllable on J .

Proof : Since the system (7) is null controllable on J , $W^{-1}(t_1, T)$ exists for each $T \geq t_1$. Suppose the pair of functions x_i, u_j form a solution pair to the set of integral equations

$$u_j(t) = -B_j^*(t) \Phi_i^*(T, t) W^{-1} \left[D_i(T, x_{iT}) + \Phi_i(T, T-t_1) \right. \\ \left. \left\{ x_i(T-t_1) - D_i(T-t_1, x_{iT-t_1}) \right\} + \int_{T-t_1}^T \Phi_i(T, s) \right. \\ \left. \left\{ A_i(s) D_i(s, x_{is}) + f(s, x_{is}, u_j(s)) \right\} ds \right]. \quad (13)$$

$$x_i(t) = D_i(t, x_{it}) + \Phi_i(t, t-t_1) [x_i(t-t_1) - D_i(t-t_1, x_{it-t_1})] \\ + \int_{t-t_1}^t \Phi_i(t, s) [A_i(s) D_i(s, x_{is}) + B_j(s)u_j(s) + f_i(s, x_{is}, u_j(s))] ds, \quad t \in J$$

$$x_i(t) = \phi(t), \quad t \in [-r, 0] \quad (14)$$

Hence using the control (13) into (14) for $t \geq t_1$ we have $x_i(T) = 0$, $t \in J$ and $x_i(0) = \phi$. We now show that $u_j : J \rightarrow U$ is an arbitrary compact constraint subset of E^m that is $\|u_j\| \leq a$ for some constant $a > 0$. For that let

$$\|B_j(t) \Phi_i(T, t) W^{-1}\| \leq K_{j2}$$

then

$$\begin{aligned} \|u_j(t)\| \leq K_{j2} \left[\|D_i(T, x_{iT}) + \Phi_i(T, T-t_1) \{x_i(T-t_1) - D_i(T-t_1, x_{iT-t_1})\}\| \right. \\ \left. + \int_{T-t_1}^T \|\Phi_i(T, s) \{A_i(s) D_i(s, x_{is}) + f(s, x_{is}, u_j(s))\}\| ds \right] \end{aligned}$$

The first and fourth terms are combined and using (11) and (12) the terms are

$$\begin{aligned} K_{j2} \left[\|D_i(T, x_{iT})\| + \int_{T-t_1}^T \|\Phi_i(T, s) A_i(s) D_i(s, x_{is})\| ds \right] \\ \leq k_{j2} \sum_{k=1}^N [c_{ik} + d_{ik} \int_0^{t_1} \|\Phi_i(T, T-s)\| ds] \|x_{kT}\|_r \end{aligned}$$

Further using the Kronecker delta function δ_{ik} , the above inequality and

$$\max \{ \|x_{kT-t_1}\|_r, \|x_{kT}\|_r \} = \|x_{kT}\|_{t_1+r}, \text{ we get}$$

$$\begin{aligned} \|u_j(t)\| \leq K_{j2} \left[\sum_{k=1}^N \{c_{ik} + d_{ik} \int_0^{t_1} \|\Phi_i(T, T-s)\| ds \right. \\ \left. + H_i \exp(-\alpha_i t_1) (\delta_{ik} + c_{ik}) \right] \|x_{kT}\|_{t_1+r} \\ + \int_0^{t_1} \|\Phi_i(T, T-s) f(s, x_{is}, u_j(s))\| ds \\ \leq K_{j2} \left[\sum_{k=1}^N \{ \eta_{ik} + H_i \exp(-\alpha_i t_1) (\delta_{ik} + c_{ik}) \} \|x_{kT}\|_{t_1+r} \right. \\ \left. + K_{j2} \int_0^{t_1} H_i \exp(-\alpha_i s) \exp(-\beta_i s) \pi(x_i(\cdot), u_j(\cdot)) ds \right] \end{aligned}$$

$$\begin{aligned} &\leq K_{j2} \sum_{k=1}^N \left\{ \eta_{ik} + H_i \exp(-\alpha_i t_1) (\delta_{ik} + c_{ik}) \right\} \|x_{kT}\|_{t_1+r} \\ &\quad + K_{j2} \int_0^{t_1} H_i \exp[-(\beta_i + \alpha_i)s] \pi(x_i(\cdot), u_j(\cdot)) ds \end{aligned} \tag{15}$$

$$\begin{aligned} &\leq K_{j2} \sum_{k=1}^N \eta_{ik} \|x_{kT}\|_{t_1+r} + K_{i1} K_{j2} H_i \exp(-\alpha_i T) \\ &\leq a \end{aligned} \tag{16}$$

Since $\rho(\eta_{ik}) < 1$, the first term is finite, δ_{ik} is the Kronecker delta we see that from equation (15), second term vanishes and third term is finite for large $T \geq t_1$, $\exp[-(\beta_i + \alpha_i)T] \leq \exp[-\alpha_i T]$, $\beta_i \geq 0$. For the choice of t_1 and T , $\|u_j(t)\| \leq a$, $t \in J$ implies that u_j is an admissible control. It remains to prove that the existence of a solution pair of the integral equations (13) and (14). Let B be the Banach space of all function $(x_i, u_j) : [-r, T] \times J \rightarrow E^{n_i} \times E^{m_j}$. Define an operator $F : B \rightarrow B$ by

$$F(x_i, u_j) \rightarrow (z_i, v_j)$$

where

$$\begin{aligned} v_j(t) = & -B_j^*(t) \Phi_i^*(T, t) W^{-1} \left[D_i(T, x_{iT}) + \Phi_i(T, T-t_1) \left\{ x_i(T-t_1) \right. \right. \\ & \left. \left. - D_i(T-t_1, x_{iT-t_1}) \right\} + \int_{T-t_1}^T \Phi_i(T, s) \left\{ A_i(s) D_i(s, x_{is}) \right. \right. \\ & \left. \left. + f(s, x_{is}, u_j(s)) \right\} ds \right] \end{aligned}$$

$$\begin{aligned} z_i(t) = & D_i(t, x_{it}) + \Phi_i(t, t-t_1) [x_i(t-t_1) - D_i(t-t_1, x_{it-t_1})] \\ & + \int_{t-t_1}^t \Phi_i(t, s) [A_i(s) D_i(s, x_s) + B_j(s) u_j(s) + f_i(s, x_{is}, u_j(s))] ds, \quad t \geq t_1 \end{aligned}$$

$$z_i(t) = \phi(t) \quad t \in [-r, 0]$$

From (13) it is clear that $\|v_j(t)\| \leq a$, $t \in J$. Next

$$\begin{aligned}
\|z_i(t)\| &\leq \sum_{k=1}^N c_{ik} \|x_{kt}\|_r + H_i \exp(-\alpha_i t_1) \left\{ \|x_i(t-t_1)\| + \sum_{k=1}^N c_{ik} \|x_{kt-t_1}\|_r \right\} \\
&+ \int_{t-t_1}^t \sum_{k=1}^N d_{ik} \|x_{kt}\|_r \|\Phi_i(t, s)\| ds + \int_{t-t_1}^t \|\Phi_i(t, s)\| \|B_j(s)\| \|u_j(s)\| ds \\
&+ \int_{t-t_1}^t \|\Phi_i(t, s)\| \|f(s, x_{is}, u_j(s))\| ds \\
&\leq \sum_{k=1}^N \left\{ c_{ik} + d_{ik} \int_0^{t_1} \|\Phi_i(t, t-s)\| ds + H_i \exp(-\alpha_i t_1) (c_{ik} + \delta_{ik}) \right\} \|x_{kT}\|_{t_1+r} \\
&+ \int_0^{t_1} \|\Phi_i(t, t-s)\| \|B_j(s)\| \|u_j(s)\| ds \\
&\quad + \int_0^{t_1} \|\Phi_i(t, t-s)\| \|f(t-s, x_{it-s}, u_j(t-s))\| ds \\
&\leq \sum_{k=1}^N \eta_{ik} \|x_{kT}\|_{t_1+r} + \exp(-\alpha_i t_1) a_{t_1} \|B_j(s)\| + K_{i1} H_i \exp[-(\beta_i + \alpha_i)T]
\end{aligned}$$

For large t_1 and T , we have

$$\|z_i(t)\| \leq c_2 + c_3 + K_{i1} H_i = \gamma_i \quad (17)$$

where $c_2 = \sum_{k=1}^N \eta_{ik} \|x_{kT}\|_{t_1+r}$ and $c_3 = a_{t_1} \sup_{t \in J} \|B_j(t)\|$

Further, $\|z_i(t)\| \leq \sup \|\phi(t)\| \equiv \delta, t \in [-r, 0]$.

Let $h = \max \{\gamma_i, \delta\}, i = 1, 2, \dots, N$. Define the set

$$Q = \{(x_i, u_j) : \|x_i\| \leq h, \|u_j\| \leq h\}.$$

Then from the inequalities (16) and (17) we see that F maps Q into itself. Further Q is closed, bounded and convex, by Riez's theorem [7], it is relatively compact under F . Hence by the Schauder fixed point theorem F has a fixed point

$(x_i, u_j) \in Q$. This fixed point (x_i, u_j) of F is a solution pair of the set of integral equations (13), (14). Thus the system (5) is null controllable on J .

4. EXAMPLE

Consider the system (5) with

$$D_i(t, x_{it}) = \frac{1}{4} \begin{bmatrix} 0 \\ x_2(t-1) \end{bmatrix} \quad A_i(t) = \begin{bmatrix} -1 & 0 \\ 1 & -1 \end{bmatrix} \quad B_i(t) = \begin{bmatrix} 1 \\ t \end{bmatrix}$$

$$f_i(t, x_{it}, u_j) = \begin{bmatrix} \varepsilon \cos x_2(t-1) + \exp(-t) u_1(t) \\ \varepsilon \sin x_2(t-1) + \exp(-t) u_2(t) \end{bmatrix}$$

then

$$\Phi_i(t, s) = \Phi_i(t-s) = \exp A_i(t-s) = \begin{bmatrix} \exp(s-t) & 0 \\ (t-s)\exp(s-t) & \exp(s-t) \end{bmatrix}$$

it is easy to verify that it satisfies all the properties of Definition 2.1 hence $\Phi_i(t-s)$ is a transition matrix. Also

$$\Phi_i(t_1, t_1-s) = \Phi_i(s) = \begin{bmatrix} \exp(-s) & 0 \\ s\exp(-s) & \exp(-s) \end{bmatrix}$$

Now

$$\Phi_i(s)B_j(s) = \begin{bmatrix} \exp(-s) \\ 2s\exp(-s) \end{bmatrix}$$

$$\Phi_i(s)B_j(s)B_j^*(s)\Phi_i^*(s) = \begin{bmatrix} \exp(-2s) & 2s\exp(-2s) \\ 2s\exp(-2s) & 4s^2\exp(-2s) \end{bmatrix} \quad (18)$$

$$\|\Phi_i(t-s)\| \leq (1+t-s) \exp[-(t-s)]$$

$$\|D_i(t, x_{it})\| \leq (1/4) \|x_2(t-1)\|$$

and

$$\begin{aligned} \|\Phi_i(t-s) A_i(t) D_i(t, x_{it})\| &\leq (1/4) \|x_2(t-1)\| (1+t-s)\exp(s-t) \\ &\leq (1/4) (1+t-s)\exp(s-t) |x_2(t-1)| \end{aligned}$$

$$\begin{aligned} \text{Now } c(s) + \int_0^T d(s,t)dt &\leq (1/4) + (1/4) \exp(s) [-(T+s)\exp(-T) + 1] \\ &\leq (1/2) < 1 \end{aligned}$$

and

$$W(t_1, T) = \int_0^T \Phi_i(t_1, t_1-s) B_j(s) B_j^*(s) \Phi_i^*(t_1, t_1-s) ds.$$

That is

$$W(T) = \int_0^T \Phi_i(s) B_j(s) B_j^*(s) \Phi_i^*(s) ds.$$

From (18) it is clear that W is nonsingular for $T \neq 0$. Hence W^{-1} exists, by Theorem 3.1, the system (5) is null controllable on J .

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